



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/542,273	04/04/2000	James J. Crow	044577.0003	5239
33031 75	90 03/13/2006		EXAMINER	
	STEPHENSON ASCOI	WANG, LIANG CHE A		
4807 SPICEWOOD SPRINGS RD. BLDG. 4, SUITE 201 AUSTIN, TX 78759			ART UNIT	PAPER NUMBER
			2155	
			DATE MAILED: 03/13/2000	6

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
		,, ,,				
Office Action Summary	09/542,273	CROW ET AL.				
Office Action Summary	Examiner	Art Unit				
	Liang-che Alex Wang	2155				
The MAILING DATE of this communication Period for Reply	appears on the cover sheet wi	th the correspondence address				
A SHORTENED STATUTORY PERIOD FOR RE WHICHEVER IS LONGER, FROM THE MAILING  - Extensions of time may be available under the provisions of 37 CF after SIX (6) MONTHS from the mailing date of this communication  - If NO period for reply is specified above, the maximum statutory pe  - Failure to reply within the set or extended period for reply will, by st Any reply received by the Office later than three months after the mearned patent term adjustment. See 37 CFR 1.704(b).	G DATE OF THIS COMMUNIC R 1.136(a). In no event, however, may a re t. riod will apply and will expire SIX (6) MON' tatute, cause the application to become AB.	CATION.  Seply be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 2	7 December 2005					
· · · · · · · · · · · · · · · · · · ·	This action is non-final.					
· <u> </u>	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice und	•	• •				
Disposition of Claims						
4)⊠ Claim(s) <u>1-25</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-25</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction ar	nd/or election requirement.					
Application Papers						
9) ☐ The specification is objected to by the Exan	ninar					
10) The drawing(s) filed on is/are: a)		ov the Examiner				
Applicant may not request that any objection to						
Replacement drawing sheet(s) including the co		, ,				
11) The oath or declaration is objected to by the		• •				
Priority under 35 U.S.C. § 119	Examinor. Note the attached	7 10 10 10 10 10 10 10 10 10 10 10 10 10				
		440()()				
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) All b) Some * c) None of:						
	<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>					
	· · · · · · · · · · · · · · · · · · ·					
3. Copies of the certified copies of the	•	received in this National Stage				
application from the International Bu		ropolivad				
* See the attached detailed Office action for a	list of the certified copies not	eceiveu.				
Attachment(s)	🗖					
1) ☑ Notice of References Cited (PTO-892) 2) ☑ Notice of Draftsperson's Patent Drawing Review (PTO-948)		ummary (PTO-413) )/Mail Date				
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB	5) Notice of In	formal Patent Application (PTO-152)				
Paper No(s)/Mail Date	6)					

#### **DETAILED ACTION**

Page 2

1. Claims 1-25 are presented for examination.

## The New Grounds of Rejection

Applicant's amendment and argument with respect to claims 1-25 filed on 12/27/2005
have been fully considered but they are deemed to be moot in views of the new grounds
of rejection.

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 4. Claims 1-5, 9, 13, 15-25 are rejected under 35 U.S.C. 102(e) as being anticipated by Ballard, US Patent Number 6,078,960, hereinafter Ballard.
- 5. Referring to claim 1, Ballard teaches a communication network (see Figures 1 and 3) comprising:
  - a plurality of server devices (Figure 1, items 12) for providing a plurality of services to the network (Col 3 lines 32-65, servers provides services to clients in a client-

server network), where each service of the plurality of services has a corresponding service address (each server under TCP/IP is associated with a service address);

a client device (Figure 1 item 14) configured to access a first service of plurality of services (Col 1 lines 44-50) by performing the following:

accessing a service point map (Col 6 lines 5-8, load balance list corresponds to service point map) on the client device (Col 6 line 3, load balance list is stored on the client device) to obtain the corresponding service address for the first service (Col 1 lines 44-50, 53-55; ), and

sending a request or the first service to the corresponding service address for the first service (Col 5 lines 12-18),

wherein the first service point map comprises a listing of at least one service of the plurality of services available on the network and the corresponding service address for each service of the at least one service (Col 6 lines 3-18)

6. Referring to claim 2, Ballard teaches the communication network of claim 1, further comprising a service point manager device (accessed server computer) to intermittently generate a current service point map (figure 4B, updated load balance list) identifying at least one connected service and corresponding address information for the at least one connected service connected to the network (Col 6 lines 49-64, updated load balance list is received from the accessed server computer), where each respective service device of the server devices sends corresponding address information for each service at the respective server device to the service point map manager device (see figure 4B, updated load balance list contains servers info from servers 1-5) and the client device collects the

current service point map from the service point map manager device when the client device connects to the network (Col 6 lines 49-64, updated load balance list is received from the accessed server computer).

- 7. Referring to claim 3, Ballard teaches the communication network of claim 2, wherein the service point manager device selects the at least one connected service for inclusion in the current service point map using server load balancing technique (figure 4B, Col 6 lines 49-64).
- 8. Referring to claim 4, Ballard teaches the communication network of claim 3, wherein the load balancing techniques are implemented by supplying a service point map to the client (Col 6 lines 3-18, lines 54-64), wherein the first service point map has been processed for load balancing (Col 1 lines 44-50).
- 9. Referring to claim 5, Ballard teaches the communication network of claim 3, wherein the server load balancing technique are implemented by supplying a first service point map (figure 4A) to the client device, wherein the client device runs a script code in the first service point map to select the at least one connected service (Col 1 lines 44-50).
- 10. Referring to claim 9, Ballard teaches the communication network of claim 1, wherein a second service of the plurality of services causes the client device to perform actions using executable commands in the service point map (Col 1 lines 44-50.)
- 11. Referring to claims 13, 15-19, claims 13, 15-19 encompass the same scope of the invention as that of the claims 1-5, 9. Therefore, claims 13, 15-19 are rejected for the same reason as the claims 1-5, 9.

- 12. Referring to claims 20-22, claims 20-22 encompass a similar scope of the invention as that of the claims 1-3, 13, except that the service point map is dynamic in claims 20-22, however, Ballard also teach the service point map is dynamic (Col 6 lines 54-64, figure 4B updated load balance list).
- 13. Referring to claims 23-25, claims 23-25 encompass the same scope of the invention as that of the claims 20-22. Therefore, claims 23-25 are rejected for the same reason as the claims 20-22

## Claim Rejections - 35 USC § 103

- 14. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 15. Claims 6 and 14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ballard and in views of Fujimoto, JP02001117932A, hereinafter Fujimoto. Ballard teaches an invention as described in claim 2, Ballard further teaches wherein the service point manager device selects the at least one connected service for inclusion in the current service point map using server load balancing technique (Col 1 lines 44-50.)

Ballard does not teach where the selection for inclusion in the service point map is based on the topographical location of the client device in the network.

However, Fujimoto teaches a selection for inclusion in the service point map is based on the topographical location of the client device in the network (See Solution lines 1-8 on the translated page.)

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to modify the teaching of Ballard such that service point manager device selects s at least one connected service for inclusion in the current service point map based on the topographical location of the client device in the network, because both Ballard and Fujimoto teach invention relates to services allocation.

A person with ordinary skill in the art would be motivated to make the modification to Ballard, because having a topographical map as taught by Fujimoto would allow the system of Ballard to provide specific services to users in a specific topographic location.

- 16. Claims 7-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ballard, in views of Al-Ghosein et al., US Patent Number 6,473,791, hereinafter Al-Ghosein.
- 17. Referring to claim 7, Ballard further teaches wherein the service map includes supplemental service identification data (figure 4A, Col 6 lines 3-18 all the service descriptions could be considered as supplemental service identification data.)

Ballard does not explicitly teach the supplemental service identification data comprising a client epoch value for a second service identified in the service point map, wherein the epoch value is used to correlate the performance of the client device and the second service.

However, Al-Ghosein teaches a load balancing service system receive performance values indicative of the targets' performance (Col 11 lines 31-35)

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to modify the teaching of Ballard such that to have supplemental service identification data comprising a client epoch value for a second service identified in the service point map, wherein the epoch value is used to correlate the performance of the client device and the second service.

A person with ordinary skill in the art would have been motivated to make the modification to Ballard, because placing the performance value of Al-Ghosein in the service point map of Ballard would allow the system to be aware of its performance level, which would allow the system to have the capability to keep track of the performance, and then increase the performance (Col 11 lines 36-41)

18. Referring to claim 8, Ballard further teaches wherein a first serve causes the client device to perform actions using executable commands in the service point map (Col 17, lines 36-37);

Ballard does not teach wherein a third service has a corresponding service epoch value, whereby the third service causes the client device to take corrective action at the time that a mismatch is detected between the client epoch value and the service epoch value.

However, AL-Ghosein teaches, after receiving the performance values the system then take corrective action by map to a target identifier with a more favorable performance value. (Col 11 lines 36-41)

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to modify the teaching of Ballard such that a third service has a corresponding service epoch value, whereby the third service causes the client device to take corrective action at the time that a mismatch is detected between the client epoch value and the service epoch value

A person with ordinary skill in the art would have been motivated to make the modification to Ballard, because Al-Ghose disclosed taking corrective actions (Col 11 lines 36-41) based on the performance values (Col 11 lines 31-35), and placing the performance value of Al-Ghosein in the service point map of Ballard then take corrective action would increase its performance level. Using client and service epoch values is just a technique of using performance values.

- 19. Claims 10-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ballard, in views of Bartle et al. US Patent Number 6,188,888, hereinafter Bartle.
- 20. Referring to claims 10-12, Ballard does not teaches wherein the service map includes backup address information for a selected service identified in the service point map in the event that the selected service cannot be reached.

However, Bartle teaches that a user would provide a backup numbers (alternate telephone numbers) (Col 1 lines 26-29) in the event that user cannot be reached.

It would have been obvious to a person with ordinary skill in the art at the time the invention was made to modify the teaching of Ballard such that the service map includes backup address information for a selected service identified in the service point map in the event that the selected service cannot be reached.

A person with ordinary skill in the art would have been motivated to make the modification to Ballard, because it is well known to provide a backup or alternate numbers when the primary number is not good to reach a person. Also, it is well known that when planning a event such as picnic, there is usually a backup plan if there is a rain day. Having this concept to be applying on Ballard's invention. A person with ordinary skill in the art would have the service point map includes backup address information for a selected service identified in the service point map in the event that the selected service cannot be reached. And a person with ordinary skill in the art would also included all the possible address information including address information for a service point map manager device (claim 11), and address information for an alternate server providing the selected service (claim 12).

### Conclusion

- 21. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Liang-che Alex Wang whose telephone number is (571)272-3992. The examiner can normally be reached on Monday thru Friday, 8:30 am to 5:00 pm.
- 22. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Saleh Najjar can be reached on (571)272-4006. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 23. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published

Application/Control Number: 09/542,273 Page 10

Art Unit: 2155

applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Liang-che Alex Wang March 2, 2006

SUPERVISORY PATENT EXAMINER